

# DATA PRIVACY AND SECURITY

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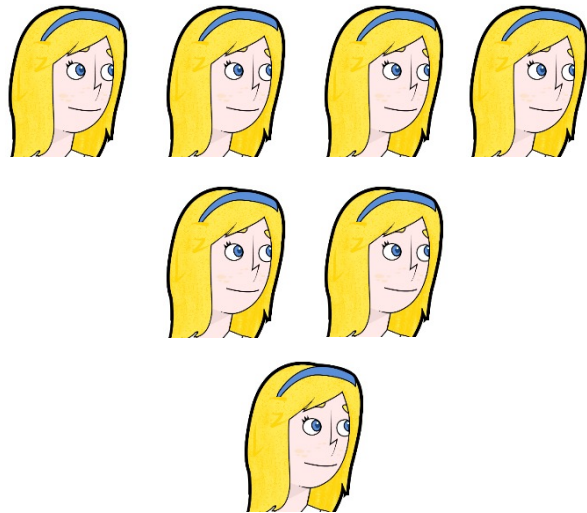
CIS SAPIENZA

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# **CHAPTER 4:** **Big Data & Cloud** **Cryptography**



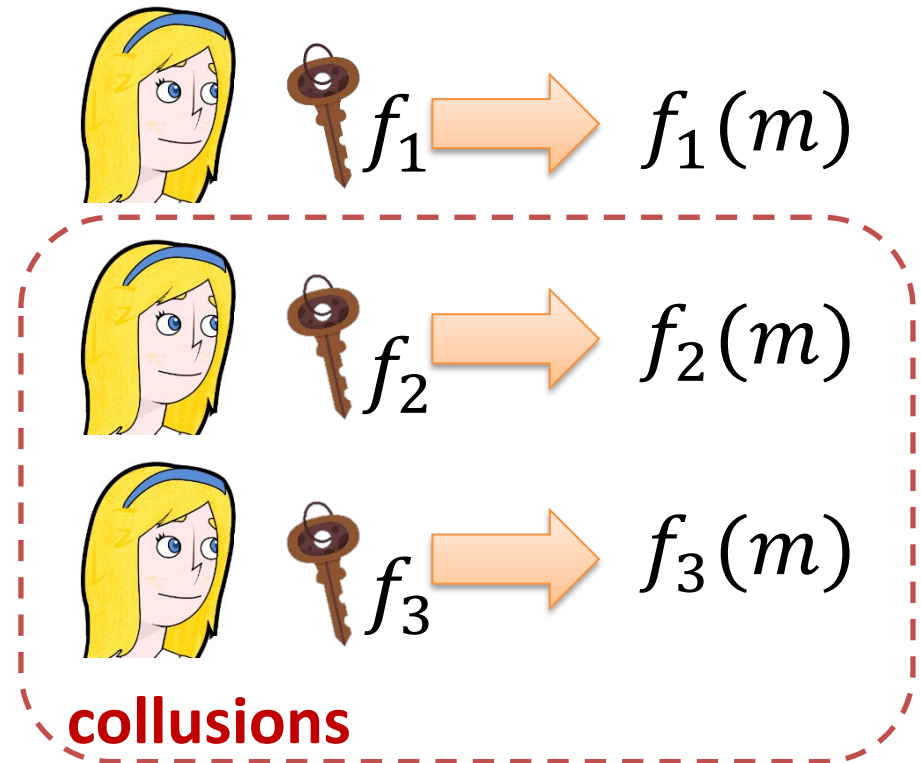
Financial, medical,  
customers,  
employees



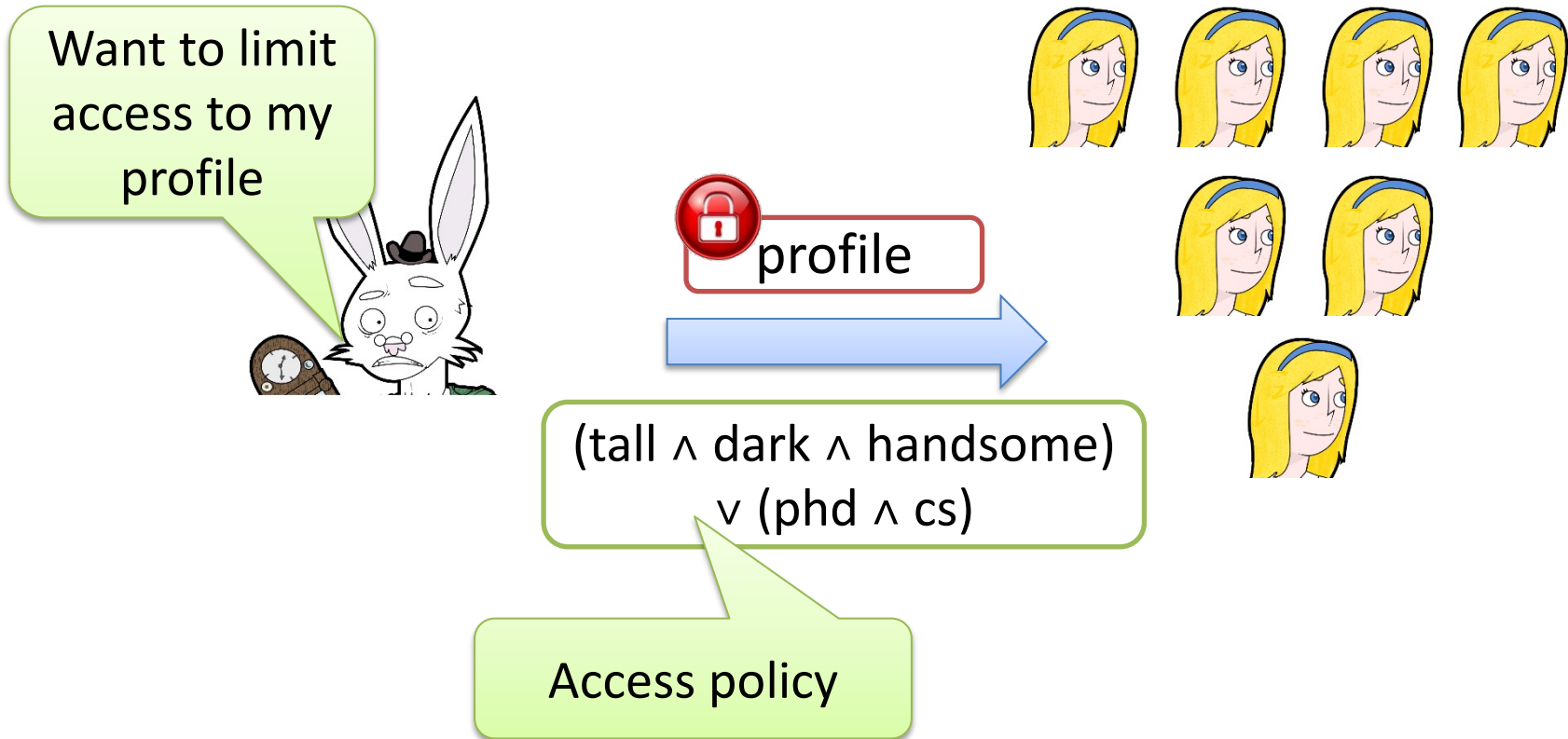
# BIG DATA

- **Utility + privacy**
  - Restrict access
  - Restrict computation

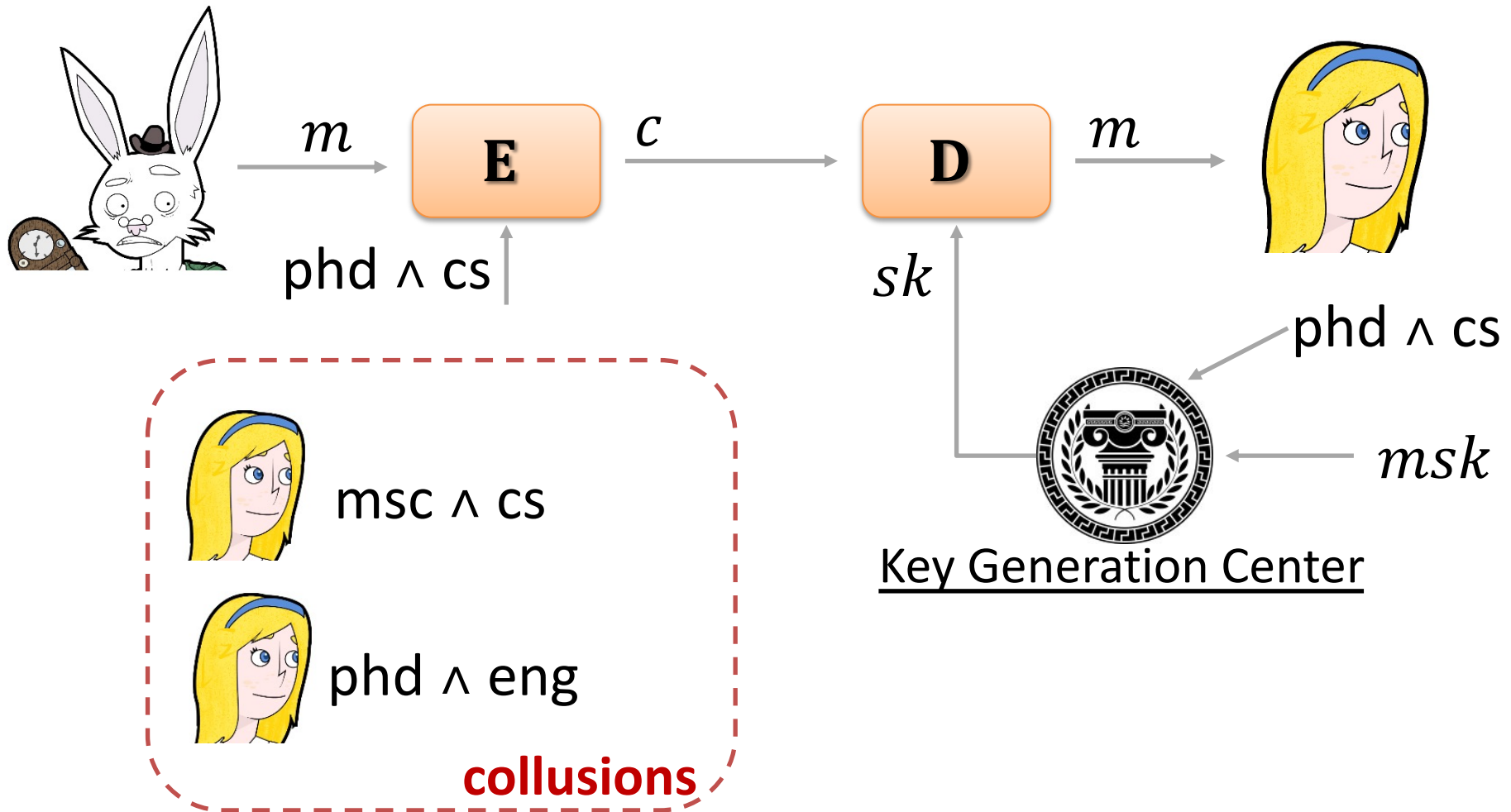
# Functional Encryption (FE)



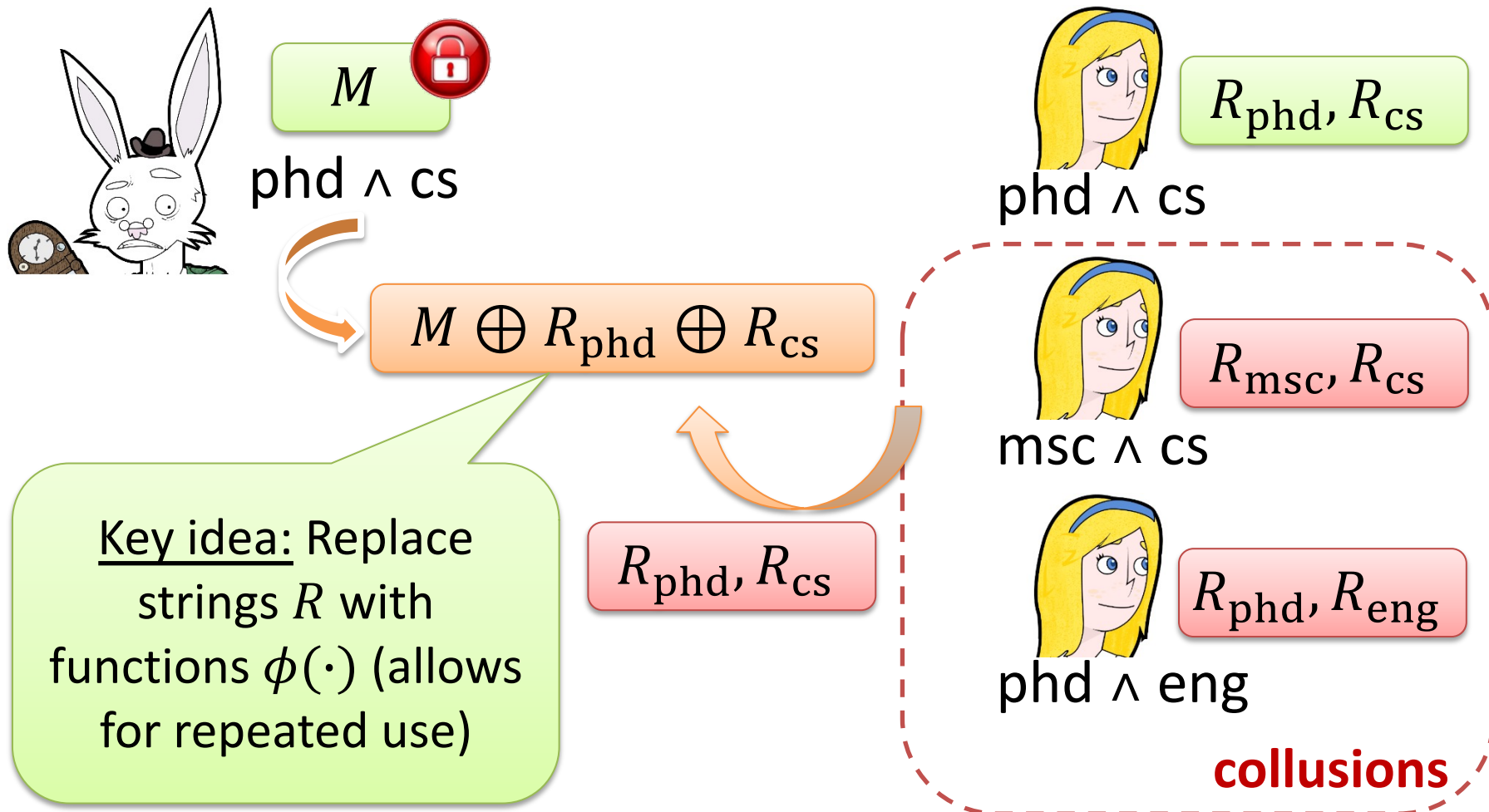
# Dating and Big Data



# Attribute-Based Encryption (ABE)



# Mix-and-Match Attacks



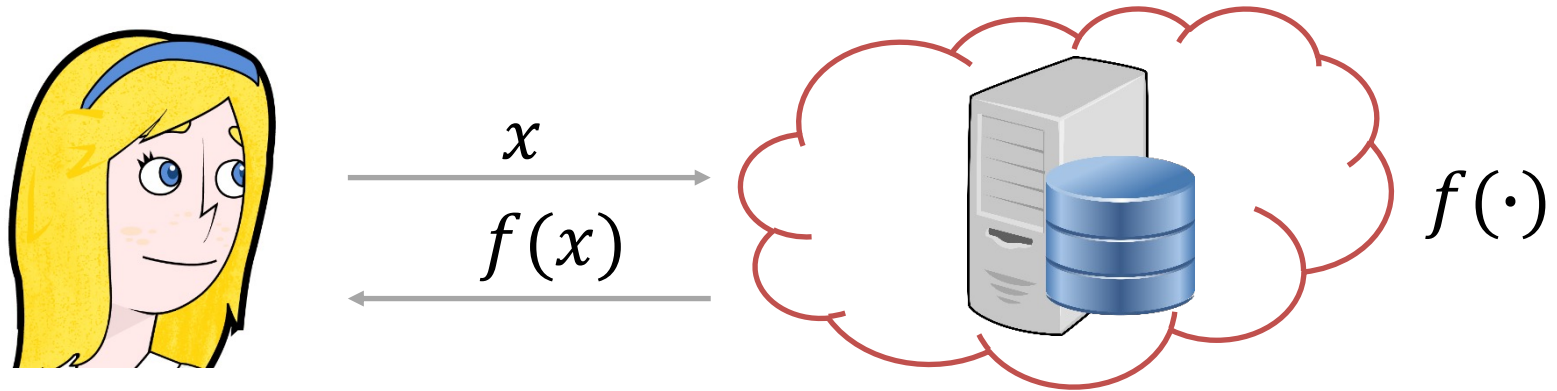
# Results on FE and ABE

- Constructions of FE for **arbitrary functions** currently requires **strong assumptions**
  - Multi-linear maps
  - Indistinguishability obfuscation
- The situation is much better for ABE
  - Constructions for **arbitrary policies** from LWE
  - Constructions for **arbitrary policies** using pairings

*"Cryptographers seldom sleep well"* – Silvio Micali

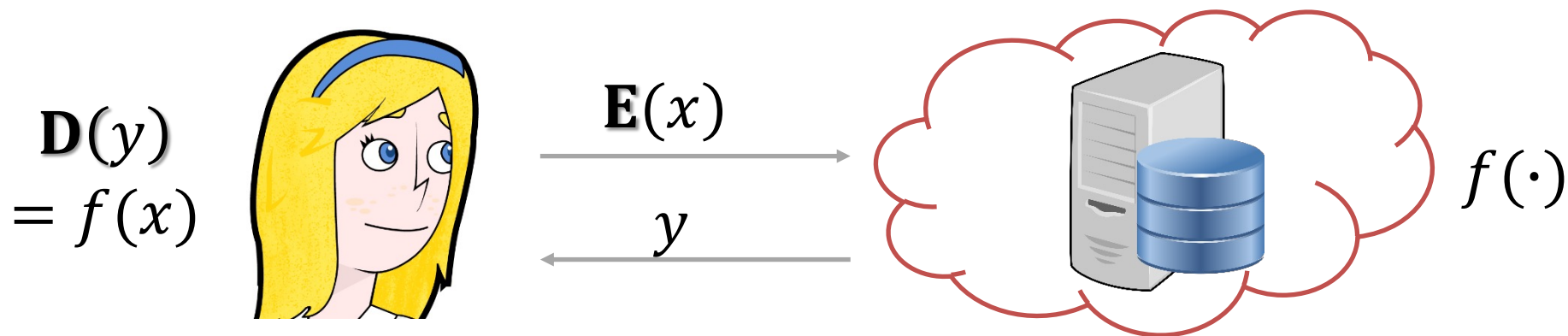


# Outsourcing of Computation



- Email, web search, navigation, social networking, ...
- What about **private**  $x$ ?

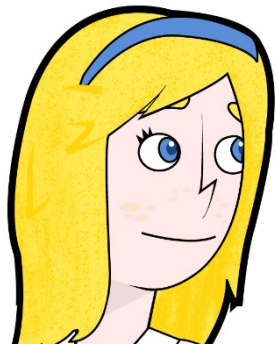
# Outsourcing of Computation - Privately



**WISH:** Homomorphic evaluation function:

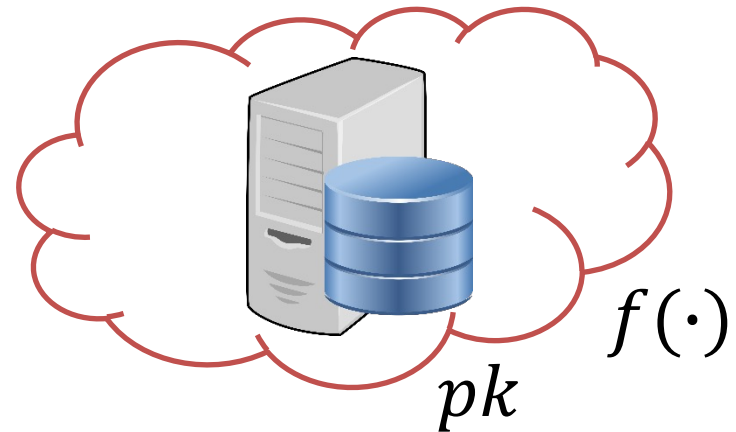
$$\mathbf{C}: f, \mathbf{E}(x) \rightarrow \mathbf{E}(f(x))$$

# Fully Homomorphic Encryption



$pk, sk$

$$c = \mathbf{E}(pk, x)$$
$$y = \mathbf{C}(pk, f, c)$$



## Correctness:

$$\mathbf{D}(sk, y) = f(x)$$

## Privacy:

$$\mathbf{E}(pk, x) \approx \mathbf{E}(pk, 0)$$

FHE = Correctness  $\forall$  efficient  $f$  = Correctness for universal set

Levelled FHE: Bounded depth  $f$

- NAND
- $(+, \times)$  over a ring

# Trivial FHE?

- Let  $(\mathbf{E}, \mathbf{D})$  be any PKE scheme
- Define FHE  $(\mathbf{E}', \mathbf{D}', \mathbf{C}')$ :
  - $\mathbf{E}'$  identical to  $\mathbf{E}$
  - $\mathbf{C}'(pk, f, c) = (f, c)$
  - $\mathbf{D}'(sk, c) = f(\mathbf{D}(c))$

**Compact FHE**:  $\exists$  **global bound** on ciphertext length and decryption time

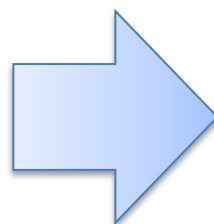
# A Paradox (And its Resolution)

$$c_1 = \mathbf{E}(pk, x_1)$$

$$c_2 = \mathbf{E}(pk, x_2)$$

$$c_3 = \mathbf{E}(pk, x_3)$$

$$f(x_1, x_2, x_3) = \begin{cases} x_2 & \text{if } x_1 = 0 \\ x_3 & \text{if } x_1 = 1 \end{cases}$$



$$\mathbf{E}(pk, x_2)$$

$$\mathbf{C}(pk, f, c_1, c_2, c_3)$$

AH! So  
 $x_1 = 0$

- But remember that encryption is **randomized!**
- Output of evaluation algorithm will look as a **fresh** and **random** ciphertext

# Eigenvectors Method (Basic Idea)

- Let  $C_1$  and  $C_2$  be matrixes for **eigenvector**  $\vec{s}$ , and **eigenvalues**  $x_1, x_2$  (i.e.,  $\vec{s} \times C_i = x_i \cdot \vec{s}$ )
  - $C_1 + C_2$  has eigenvalue  $x_1 + x_2$  w.r.t.  $\vec{s}$
  - $C_1 \times C_2$  has eigenvalue  $x_1 \cdot x_2$  w.r.t.  $\vec{s}$
- Idea (GSW): Let  $C$  be the ciphertext,  $\vec{s}$  be the secret key and  $x$  be the plaintext (say over  $\mathbb{Z}_q$ )
  - Useful to think of  $\mathbb{Z}_q = [-q/2, q/2)$
  - Homomorphism for **addition/multiplication**
  - But **insecure**: Easy to compute eigenvalues

# Approximate Eigenvectors Method

- Approximate variant:  $\vec{s} \times C = x \cdot \vec{s} + \vec{e} \approx x \cdot \vec{s}$ 
  - "Decryptable" as long as  $\|\vec{e}\|_\infty \ll q$

$$\begin{aligned}\vec{s} \times C_1 &= x_1 \cdot \vec{s} + \vec{e}_1 \\ \|\vec{e}_1\|_\infty &\ll q\end{aligned}$$

$$\begin{aligned}\vec{s} \times C_2 &= x_2 \cdot \vec{s} + \vec{e}_2 \\ \|\vec{e}_2\|_\infty &\ll q\end{aligned}$$

- **Goal:** Define **homomorphic** operations

$$C_{\text{add}} = C_1 + C_2:$$

$$\begin{aligned}\vec{s} \times (C_1 + C_2) &= \vec{s} \times C_1 + \vec{s} \times C_2 \\ &= x_1 \cdot \vec{s} + \vec{e}_1 + x_2 \cdot \vec{s} + \vec{e}_2 \\ &= (x_1 + x_2) \cdot \vec{s} + (\vec{e}_1 + \vec{e}_2)\end{aligned}$$

Noise grows a little!

# Approximate Eigenvectors Method

- Approximate variant:  $\vec{s} \times C = x \cdot \vec{s} + \vec{e} \approx x \cdot \vec{s}$ 
  - "Decryptable" as long as  $\|\vec{e}\|_\infty \ll q$

$$\begin{aligned}\vec{s} \times C_1 &= x_1 \cdot \vec{s} + \vec{e}_1 \\ \|\vec{e}_1\|_\infty &\ll q\end{aligned}$$

$$\begin{aligned}\vec{s} \times C_2 &= x_2 \cdot \vec{s} + \vec{e}_2 \\ \|\vec{e}_2\|_\infty &\ll q\end{aligned}$$

- **Goal:** Define **homomorphic** operations

$$C_{\text{mult}} = C_1 \times C_2:$$

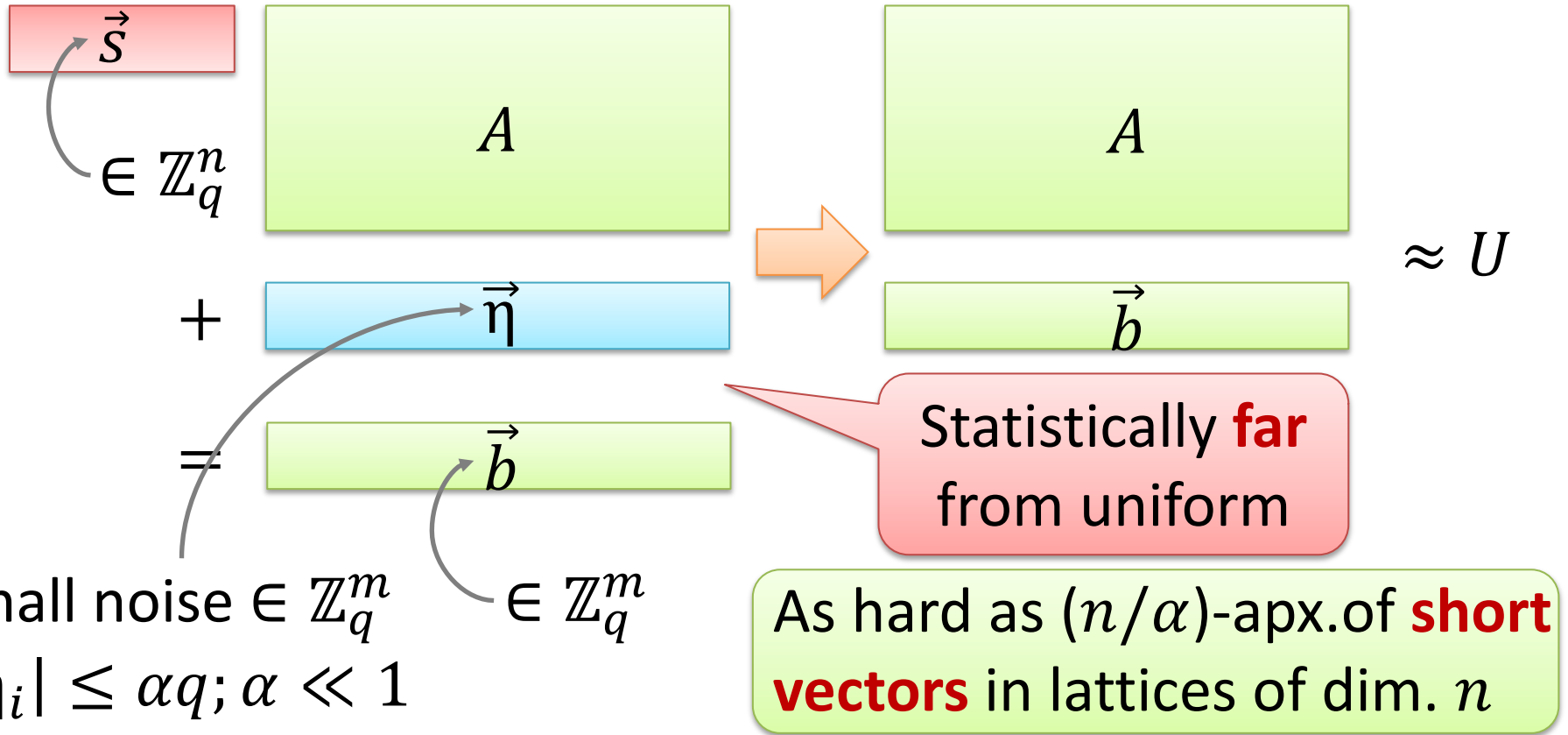
$$\begin{aligned}\vec{s} \times (C_1 \times C_2) &= (x_1 \cdot \vec{s} + \vec{e}_1) \times C_2 \\ &= x_1 \cdot (x_2 \cdot \vec{s} + \vec{e}_2) + \vec{e}_1 \times C_2 \\ &= x_1 \cdot x_2 \cdot \vec{s} + (x_1 \cdot \vec{e}_2 + \vec{e}_1 \times C_2)\end{aligned}$$

Noise grows!  
Needs to be  
small!



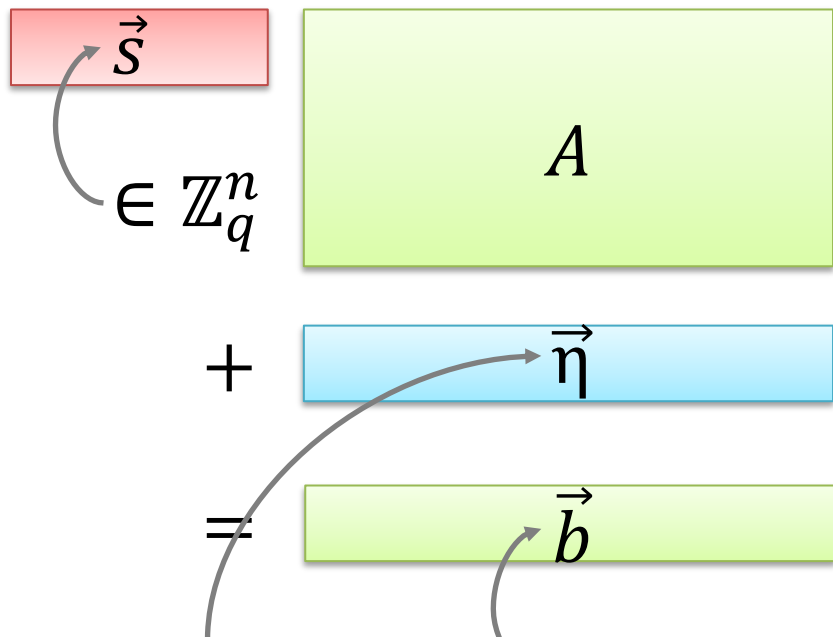
# Learning with Errors (LWE)

- Random **noisy** linear equations  $\approx$  uniform

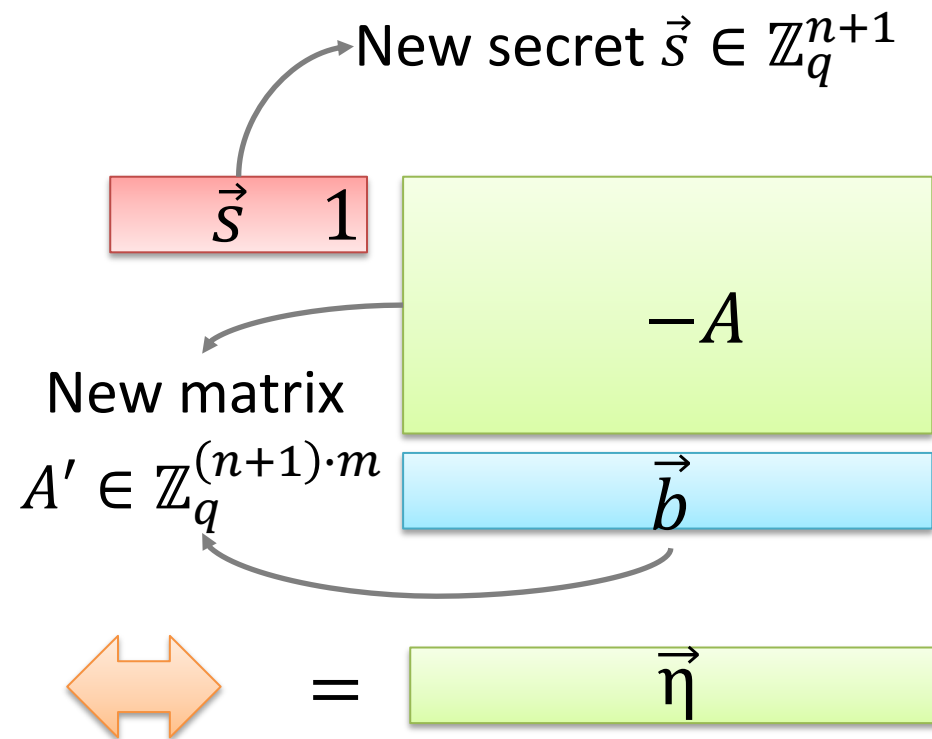


# LWE – Rearranging Notation

- Recall:  $\vec{b} = \vec{s} \times A + \vec{\eta}$

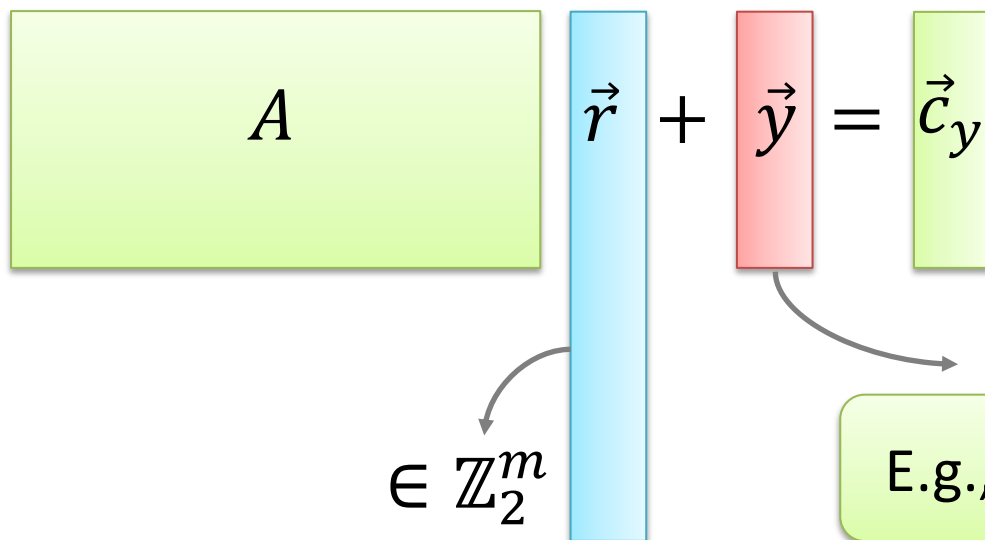
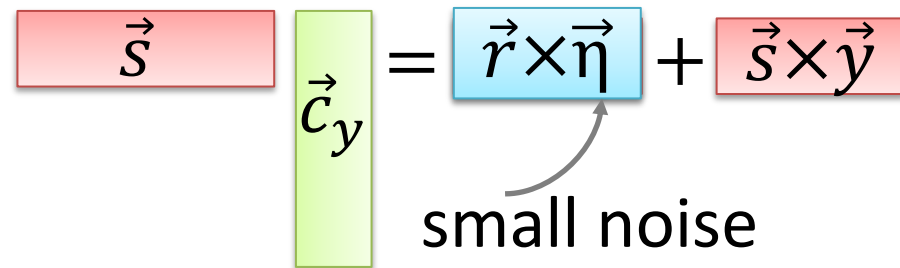
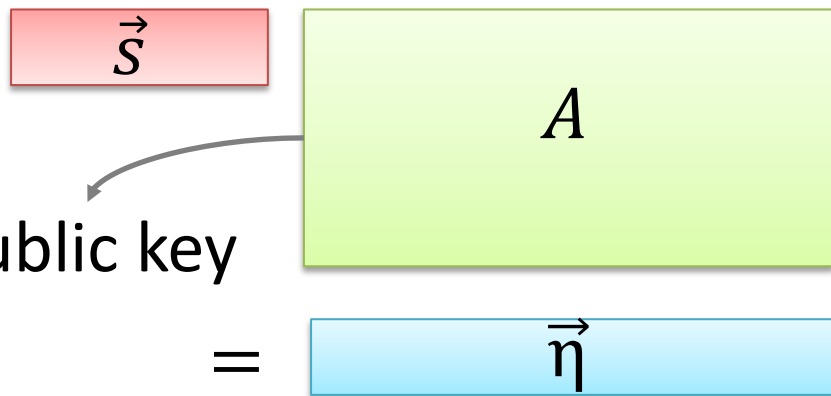


Small noise  $\vec{\eta} \in \mathbb{Z}_q^m$   
 $|\eta_i| \leq \alpha q; \alpha \ll 1$



LWE:  $A' = (-A || \vec{b}) \approx U$

# PKE from LWE

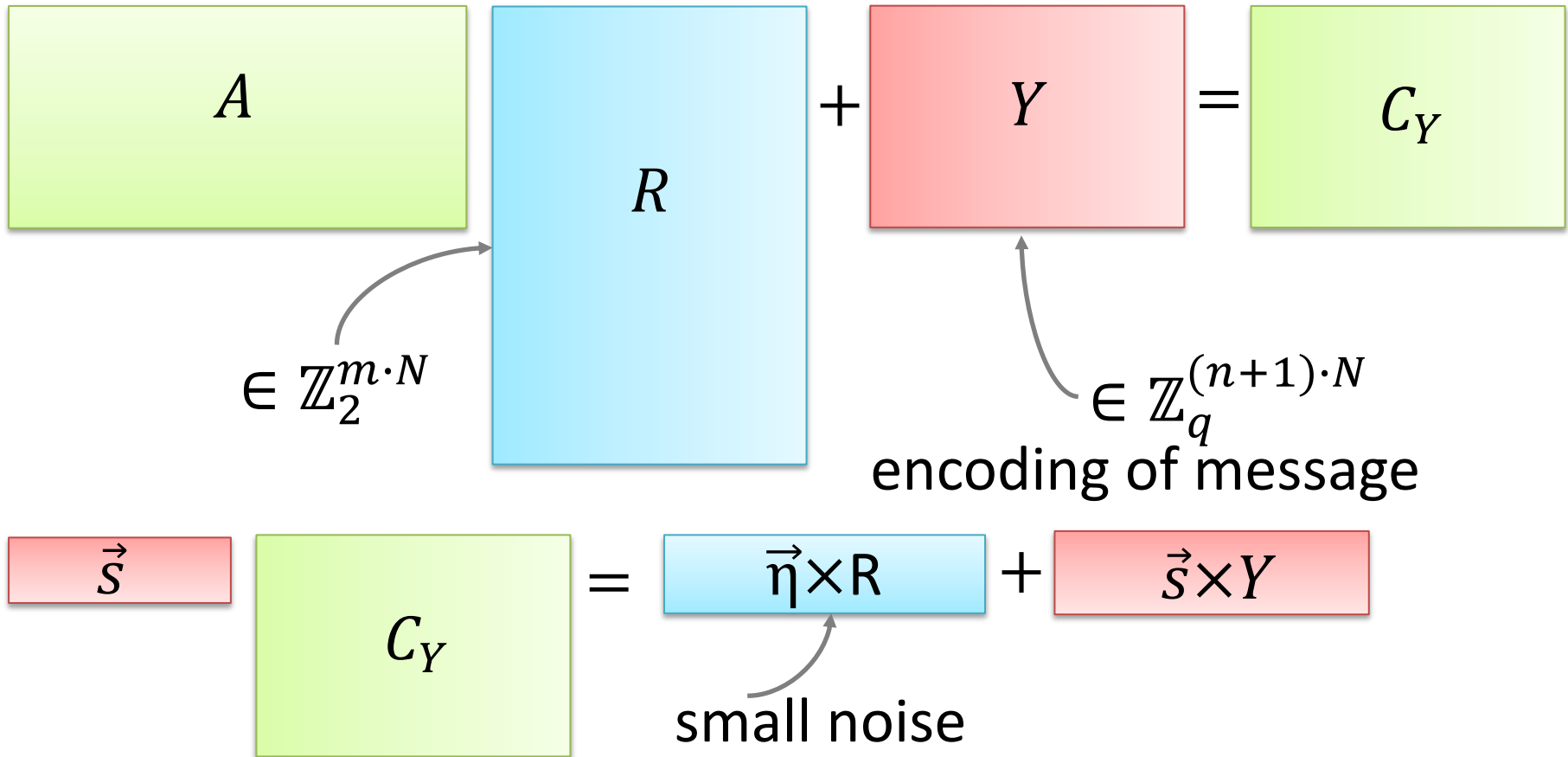


$(A, A \times \vec{r})$  **looks uniform**  
 as long as  
 $m \gg (n + 1) \log q$

encoding of message  $x$

E.g.,  $\vec{y} = x \cdot \lfloor q/2 \rfloor \cdot (0, \dots, 0, -1)$

# PKE from LWE – Matrix Version



# Shrinking Gadgets

- Write entries in  $C$  using **binary decomposition**

$$C = \begin{bmatrix} 3 & 5 \\ 1 & 4 \end{bmatrix} \pmod{8} \xrightarrow{\text{yields}} \text{bits}(C) = \begin{bmatrix} 0 & 1 \\ 1 & 0 \\ 1 & 1 \\ 0 & 1 \\ 0 & 0 \\ 1 & 0 \end{bmatrix} \pmod{8}$$

small entries!

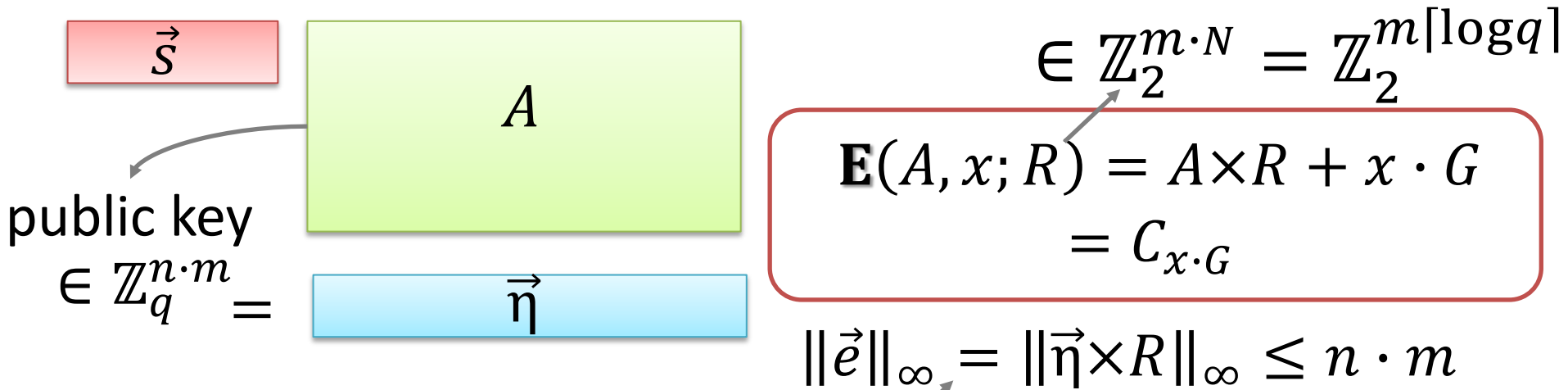
- Reverse operation:

$$\Rightarrow \vec{s} \times C = \vec{s} \times G \times G^{-1}(C)$$

$$\begin{array}{c} \leftarrow k \cdot N = k \lceil \log q \rceil \\ \leftarrow \\ \begin{matrix} k \\ \updownarrow \end{matrix} \left[ \begin{array}{cccccccc} 2^{N-1} & \dots & 2 & 1 & 0 & \dots & 0 & 0 \\ 0 & \dots & 0 & 0 & 2^{N-1} & \dots & 2 & 1 \end{array} \right] \times \text{bits}(C) = C \\ \begin{matrix} \curvearrowright \\ G \end{matrix} \qquad \qquad \qquad \begin{matrix} \curvearrowright \\ G^{-1}(C) \end{matrix}
 \end{array}$$



# The GSW Scheme



**Invariant:**  $\vec{s} \times C = \vec{e} + x \cdot \vec{s} \times G$

$$\begin{aligned}
 \mathbf{D}(\vec{s}, C) &= \vec{s} \times C \times G^{-1}(-\lfloor q/2 \rfloor \cdot \vec{u}_{n+1}) \\
 &= \vec{e} \times G^{-1}(\dots) + x \cdot \vec{s} \times G \times G^{-1}(-\lfloor q/2 \rfloor \cdot \vec{u}_{n+1}) \\
 &= \vec{e} \times G^{-1}(\dots) + \lfloor q/2 \rfloor \cdot x
 \end{aligned}$$

**Output:**  $0 \Leftrightarrow |\mathbf{D}(\vec{s}, C)| < q/4$

# The GSW Scheme – Homomorphism

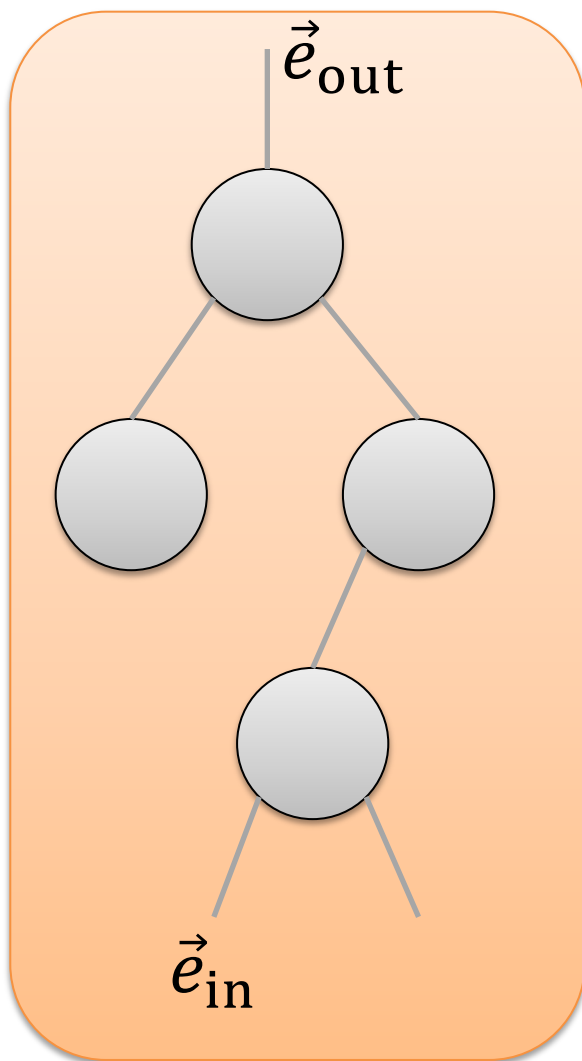
$$\text{Invariant: } \vec{s} \times C = \vec{e} + x \cdot \vec{s} \times G$$

$$C_{\text{mult}} = C_1 \times G^{-1}(C_2)$$

$$\begin{aligned} \vec{s} \times C_1 \times G^{-1}(C_2) &= (\vec{e}_1 + x_1 \cdot \vec{s} \times G) \cdot G^{-1}(C_2) \\ &= \vec{e}_1 \times G^{-1}(C_2) + x_1 \cdot \vec{s} \times G \times G^{-1}(C_2) \\ &= \vec{e}_1 \times G^{-1}(C_2) + x_1 \cdot \vec{s} \times C_2 \\ &= \vec{e}_1 \times G^{-1}(C_2) + x_1 \cdot (\vec{e}_2 + x_2 \cdot \vec{s} \times G) \\ &= (\vec{e}_1 \times G^{-1}(C_2) + x_1 \cdot \vec{e}_2) + x_1 x_2 \cdot \vec{s} \times G \\ &= \vec{e}_{\text{mult}} + x_1 x_2 \cdot \vec{s} \times G \end{aligned}$$

$$\|\vec{e}_{\text{mult}}\|_{\infty} \leq N \cdot \|\vec{e}_1\|_{\infty} + \|\vec{e}_2\|_{\infty} \leq (N + 1) \cdot \max\{\|\vec{e}_1\|, \|\vec{e}_2\|\}$$

# Homomorphic Circuit Evaluation



$$\|\vec{e}_{out}\|_{\infty} \leq (N + 1)^{d+1} m \cdot \alpha q$$

## Decryptability:

$$n \cdot m \cdot (N + 1)^{d+1} < q/4$$

## Security: $m \geq 1 + 2n(2 + \log q)$

$$\text{and } q \leq 2^{n^{\epsilon}} \quad (\epsilon < 1)$$

$$\Rightarrow n^{\epsilon} > 2d \cdot \log n$$

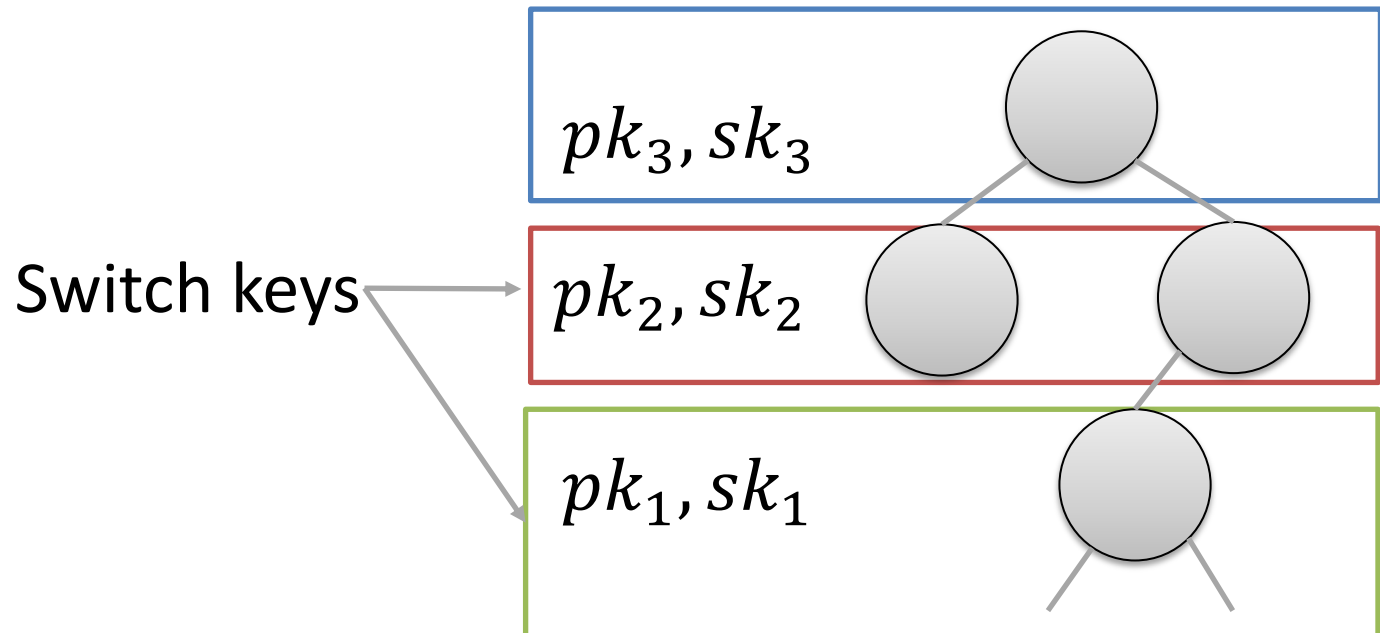
$$\|\vec{e}_{i+1}\|_{\infty} \leq (N + 1) \|\vec{e}_i\|_{\infty}$$

$$\|\vec{e}_{in}\|_{\infty} \leq m \cdot n = m \cdot \alpha q$$

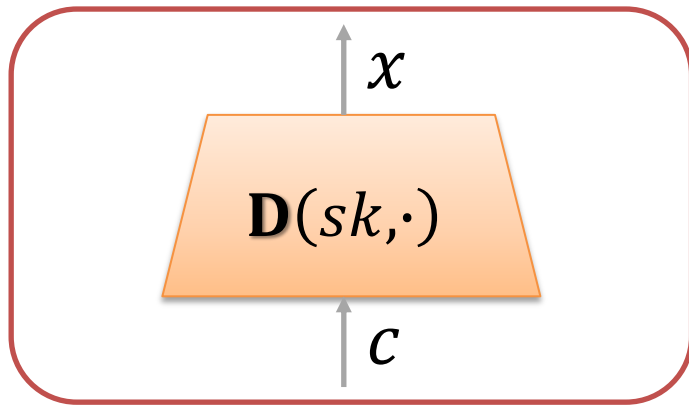


# Bootstrapping

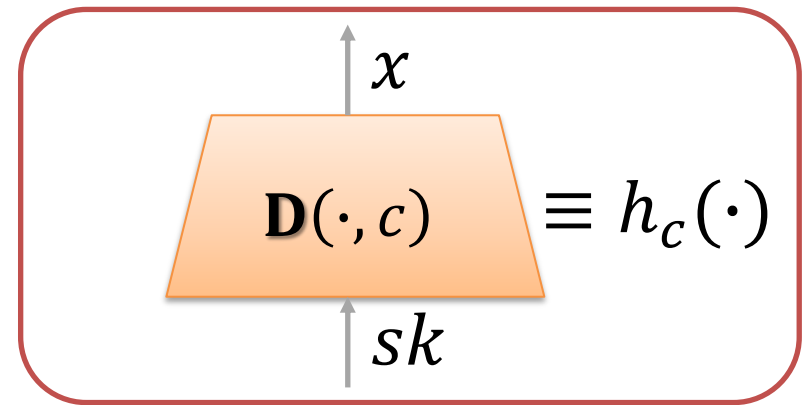
- Given scheme with **bounded homomorphism** up to  $d_{\text{hom}}$ , can we **extend** its homomorphic capability?
- Idea: Do a few operations, then **switch key**



# How to Switch Keys



Decryption circuit

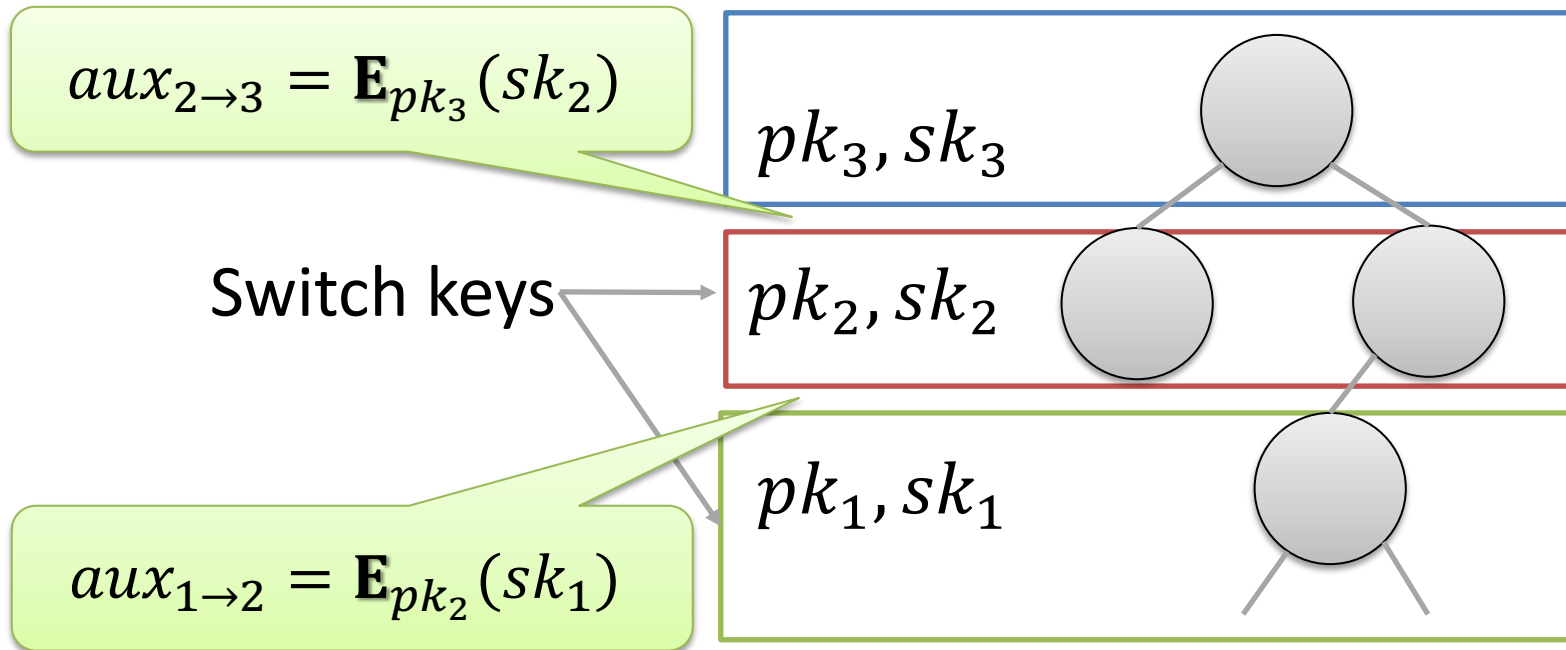


Dual view

$$\begin{aligned}\mathbf{C}_{pk'}(h_c, aux) &= \mathbf{C}_{pk'}(h_c, \mathbf{E}_{pk'}(sk)) \\ &= \mathbf{E}_{pk'}(h_c(sk)) \\ &= \mathbf{E}_{pk'}(x)\end{aligned}$$

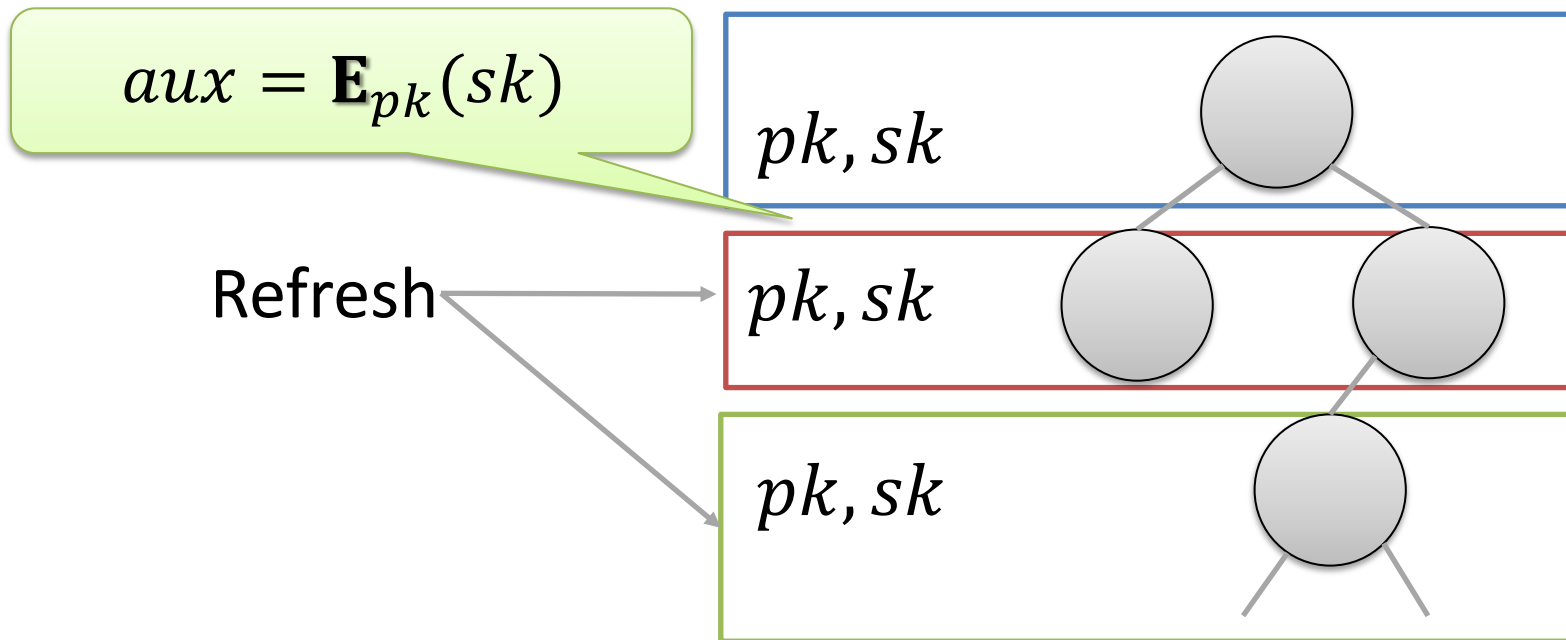
# Bootstrapping Theorem

- Homomorphic capacity of output:  $d_{\text{hom}} - d_{h_c} = d_{\text{hom}} - d_{\text{dec}}$ 
  - **Bootstrapping** if  $d_{\text{hom}} \geq d_{\text{dec}} + 1$

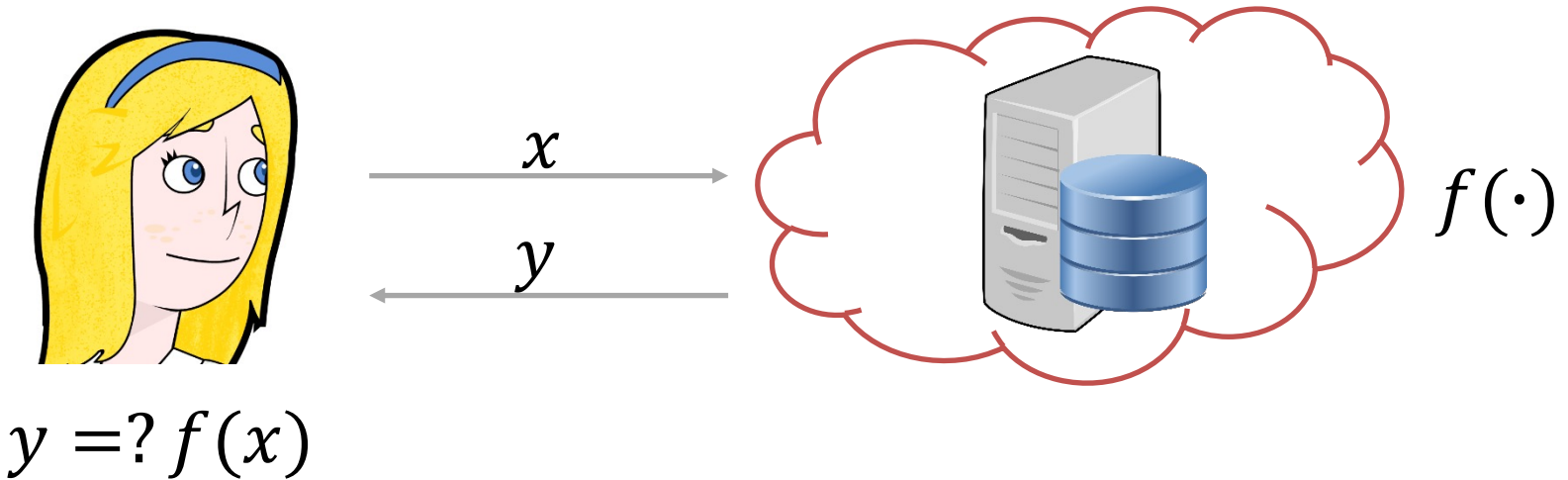


# Bootstrapping – Circular Security

- Drawback: Need to generate **many keys!**
- Alternative: **Assume circular security**

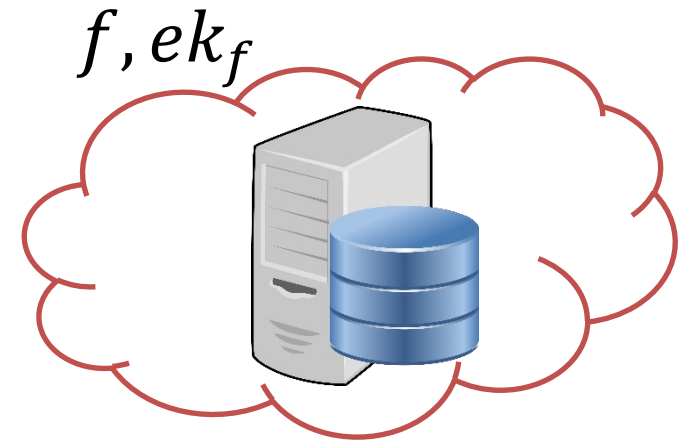
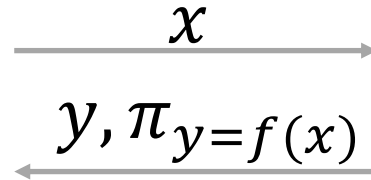
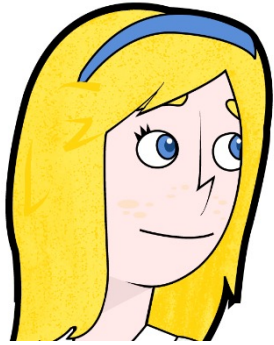


# What about Correctness?



- How to verify correctness of the computation?
  - **Without re-computing** the function from scratch
- Important also from the Cloud's perspective
  - Encourage cloud adoption & shed liability

# Verifiable Computing



$$(ek_f, vk_f) \leftarrow_{\$} \mathbf{G}(f)$$
$$\mathbf{V}(vk_f, x, y, \pi) \in \{0, 1\}$$

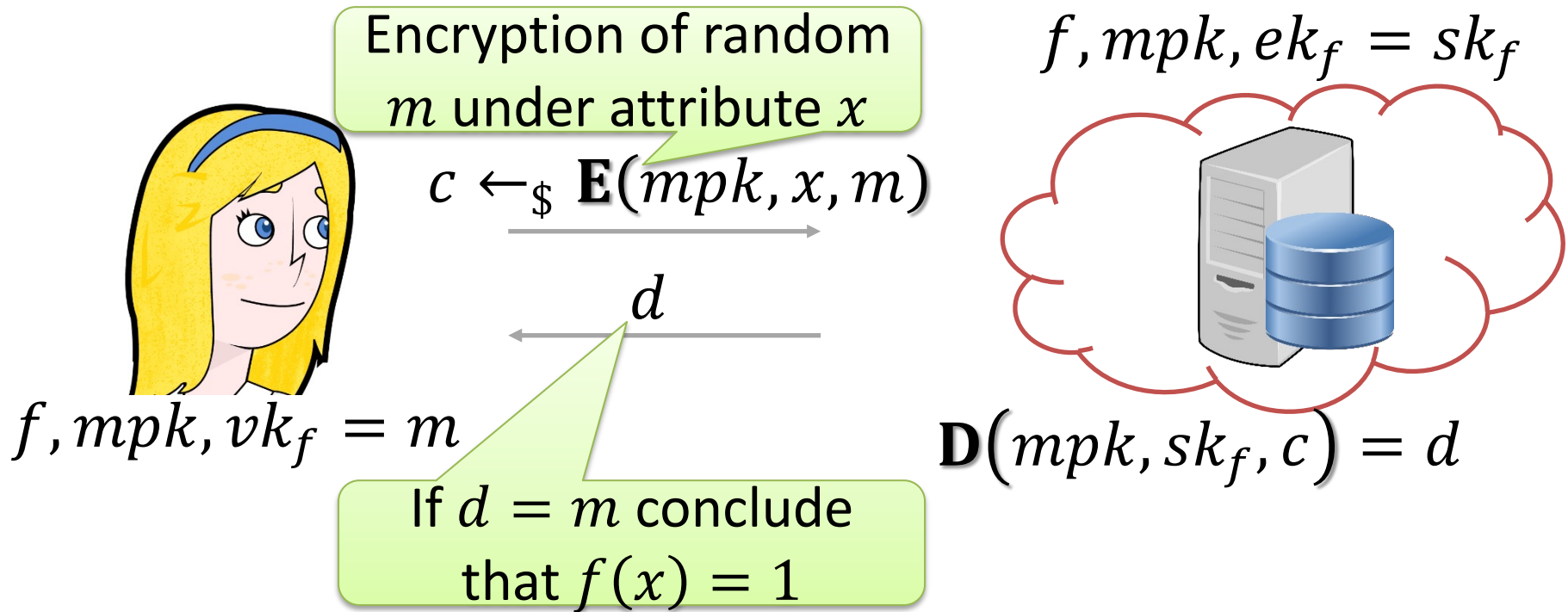
$$y = f(x)$$
$$\pi_y \leftarrow_{\$} \mathbf{P}(ek_f, x, y)$$

**Efficiency:** Alice's effort **much less** than the effort to compute  $f$

**Soundness:** No malicious server can cause Alice to accept  $y' \neq f(x)$

# Verifiable Computing from ABE (1/3)

- Assume an ABE supporting policies  $\mathcal{F}$ 
  - Suffices to take  $f \in \mathcal{F}$  to be a **formula**
  - We will need  $\mathcal{F}$  to be **closed under complement**

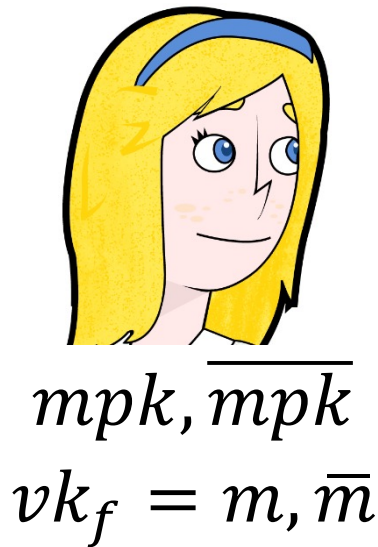


# Verifiable Computing from ABE (2/3)

- The above protocol is a VC scheme for checking that  $f(x) = 1$ 
  - If Alice receives  $m$  she is convinced with **no doubt** that  $f(x) = 1$  (except with negligible probability)
  - If Alice receives  $d \neq m$ , we can't conclude that  $f(x) = 0$  (as the server could just **refuse to answer**)
  - Hence, the server can cheat only if  $f(x) = 1$
- **Idea:** Repeat the protocol **twice**, for  $f \in \mathcal{F}$  and for its negation  $\bar{f} \in \mathcal{F}$



# Verifiable Computing from ABE (3/3)



$$c \leftarrow_{\$} \mathbf{E}(mpk, x, m)$$

$$\overline{c} \leftarrow_{\$} \mathbf{E}(\overline{mpk}, x, \overline{m})$$

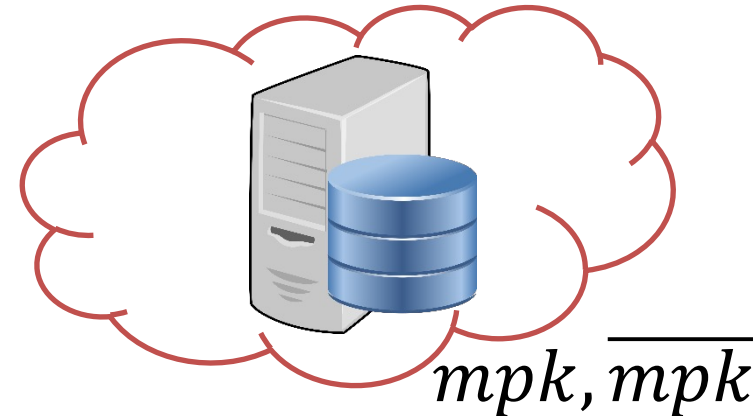
$$\xrightarrow{\hspace{10em}}$$

$$d, \overline{d}$$

$$\xleftarrow{\hspace{10em}}$$

If  $d = m, y = 1$   
 If  $\overline{d} = \overline{m}, y = 0$   
 Else,  $y = \text{error}$

$$ek_f = sk_f, sk_{\overline{f}}$$



$$\mathbf{D}(mpk, sk_f, c) = d$$

$$\mathbf{D}(\overline{mpk}, sk_{\overline{f}}, \overline{c}) = \overline{d}$$

- For functions with **multi-bit output**, repeat the above for **each function**  $f_i$ , where  $f_i(x)$  outputs the  $i$ th bit of  $f(x)$

# Additional Properties

- **Public delegatability**

- Allow arbitrary parties to submit inputs for delegation
- This is true for any reasonable ABE

- **Public verifiability**

- Allow arbitrary parties (and not just the delegator) to verify the correctness of the result produced by the worker
- Can be achieved by publishing  $g(m)$  and  $g(\bar{m})$ , where  $g(\cdot)$  is a OWF



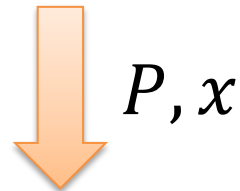
# ABE from LWE

- It remains to construct an ABE for **expressive enough** policies  $\mathcal{F}$
- We sketch a scheme for the class  $\mathcal{F}$  of **all Boolean circuits**, based on LWE
  - Let  $P$  be the policy circuit with depth  $d$  and attribute size  $k$
  - Ciphertext size will be  $\text{poly}(k, d)$
  - Key size will be  $|sk_P| = |P| + \text{poly}(k, d)$



# Main Idea: Key Homomorphism

$\mathbf{E}(mpk, x, m)$



$\mathbf{E}(pk_P, P(x), m)$

$sk_P$



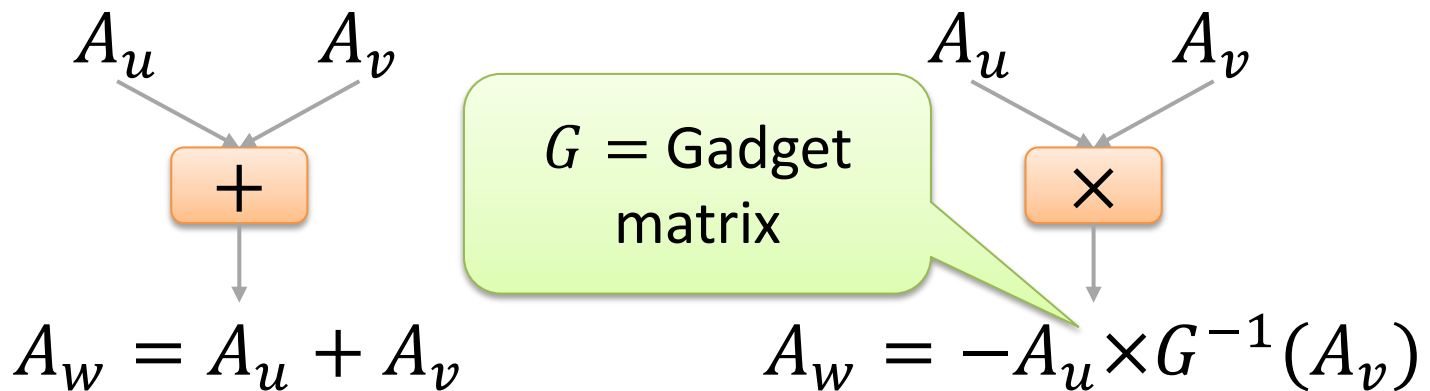
Get  $m$  iff  $P(x) = 0$

# Step 1: Transforming keys

$$mpk = (A, A_1, \dots, A_k)$$

LWE matrices

$$pk_P = A_P = \text{"Compute } P \text{ on } A_1, \dots, A_k \text{"}$$



# Step 2: Encryption

$$\mathbf{E}(mpk, x, m) = (\vec{s} \times A + \vec{\eta}, \vec{s} \times (A_1 + x_1 \cdot G) + \vec{\eta}_1, \dots, \vec{s} \times (A_k + x_k \cdot G) + \vec{\eta}_k, h(\vec{s}) \oplus m)$$

$\vec{s}$

$A$

$+$   $\vec{\eta}$

Hard-core bit of randomness  $s$

# Step 3: Transforming Ciphertexts (1/2)

$$\begin{aligned} \mathbf{E}(mpk, x, m) \\ &= (\vec{s} \times A + \vec{\eta}, \vec{s} \times (A_1 + x_1 \cdot G) \\ &\quad + \vec{\eta}_1, \dots, \vec{s} \times (A_k + x_k \cdot G) + \vec{\eta}_k) \end{aligned}$$

$$\vec{c}_u = \vec{s} \times (A_u + x_u \cdot G)$$

$$\vec{c}_v = \vec{s} \times (A_v + x_v \cdot G)$$

+

$$\vec{c}_w = \vec{c}_u + \vec{c}_v = \vec{s} \times ((A_u + A_v) + (x_u + x_v) \cdot G)$$

$$\begin{aligned} \mathbf{E}(pk_P, P(x), m) \\ &= (\vec{s} \times A + \vec{\eta}, \vec{s} \times (A_P + P(x) \cdot G) + \vec{\eta}_P) \end{aligned}$$

$P, x$

# Step 3: Transforming Ciphertexts (2/2)

$$\begin{aligned} \mathbf{E}(mpk, x, m) \\ &= (\vec{s} \times A + \vec{\eta}, \vec{s} \times (A_1 + x_1 \cdot G) \\ &+ \vec{\eta}_1, \dots, \vec{s} \times (A_k + x_k \cdot G) + \vec{\eta}_k) \end{aligned}$$

$$\vec{c}_u = \vec{s} \times (A_u + x_u \cdot G)$$

$$\vec{c}_v = \vec{s} \times (A_v + x_v \cdot G)$$

×

$$\begin{aligned} \vec{c}_w &= -\vec{c}_u \times G^{-1}(A_v) + x_u \cdot c_v \\ &= -\vec{s} \times (A_u \times G^{-1}(A_v) + x_u \cdot A_v) + x_u \cdot \vec{s} \times (A_v + x_v \cdot G) \\ &= \vec{s} \times ((-A_u \times G^{-1}(A_v)) + (x_u \cdot x_v) \cdot G) \\ &= \vec{s} \times ((A_u \times A_v) + (x_u \cdot x_v) \cdot G) \end{aligned}$$



# Step 4: Decryption

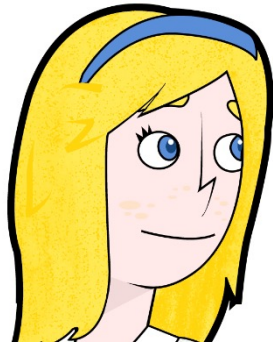
$$\begin{aligned} \mathbf{E}(mpk, x, m) \\ = (\vec{s} \times A + \vec{\eta}, \vec{s} \times (A_1 + x_1 \cdot G) \\ + \vec{\eta}_1, \dots, \vec{s} \times (A_k + x_k \cdot G) + \vec{\eta}_k) \end{aligned}$$

The secret key  $sk_P$  is a **trapdoor** for  $A || A_P$  (it allows to compute  $s$  and thus  $m$ )

$$\begin{aligned} \mathbf{E}(pk_P, P(x), m) \\ = (\vec{s} \times A || A_P + P(x) \cdot G) + \vec{\eta} + \vec{\eta}_P \end{aligned}$$

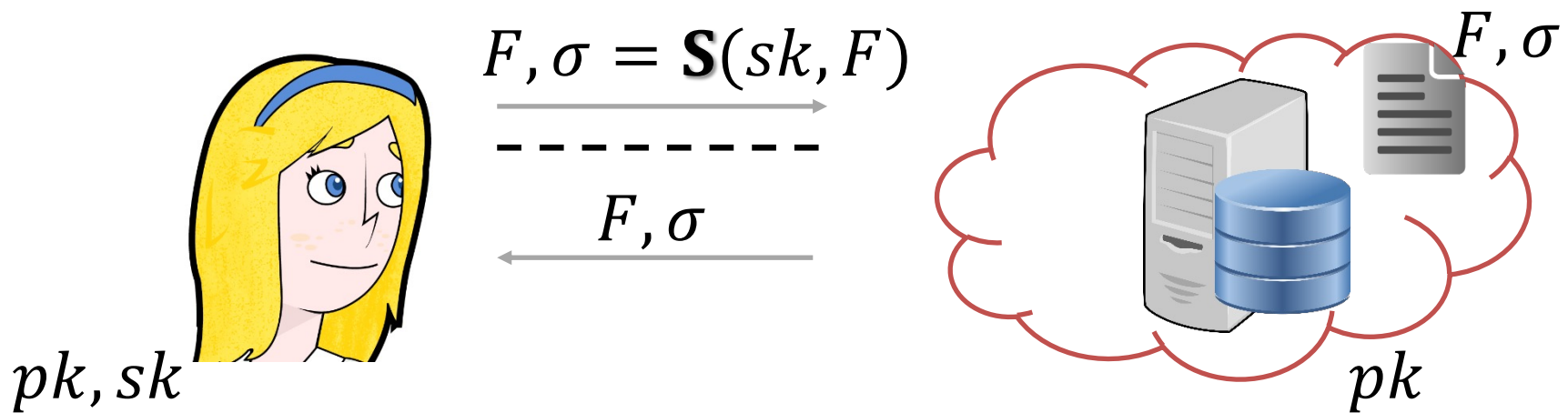
$P, x$

# Cloud Storage



- Lots of data
- Lots of devices
- Wants to access **all data** at **all times** from **all devices**
- Provides **greater accessibility** and reliability
- Cheap price

# Naive Protocols



- Run **audit** protocol
- Above protocol is too costly
  - No reason to download all data to run an audit
- What about just checking a **hash** of the file?

# Wish List

- System criteria

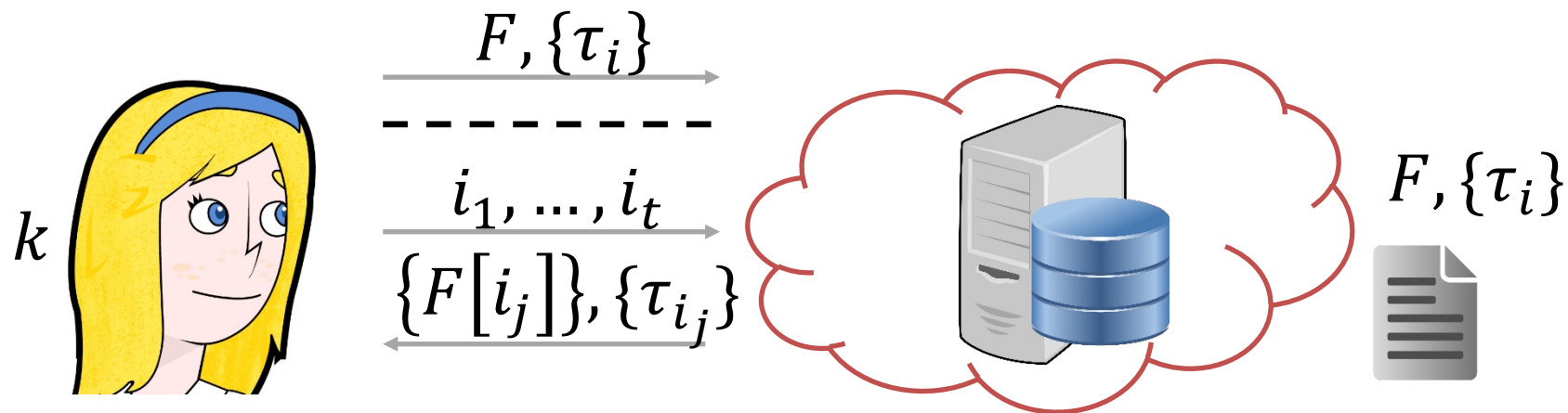
- Low communication complexity
- Locality and **small storage** overhead
- Stateless protocol

- Crypto criteria

- Only an adversary **actually storing** the file can pass an audit
- Possible to **extract the file** via black-box access
- Similar to the concept of proof of knowledge



# Basic Idea

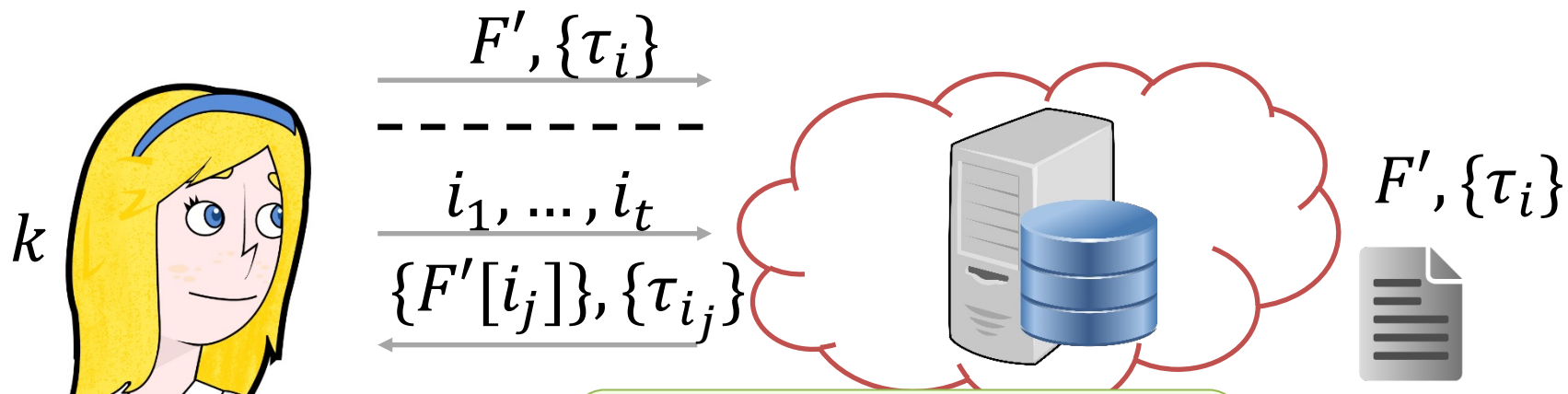


$$F = (F[1], \dots, F[n])$$

$$\tau_i \leftarrow_{\$} \mathbf{T}(k, F[i])$$

- But server can still **forget**  $o(1)$  fraction of blocks and pass audit with **good probability**
  - $\Pr[\text{detect 1-in-}10^6 \text{ erasures}] < 0.01\%$
  - $\Pr[\text{detect 50\% erasures}]: 1 - (1/2)^t$

# Proofs of Retrievability



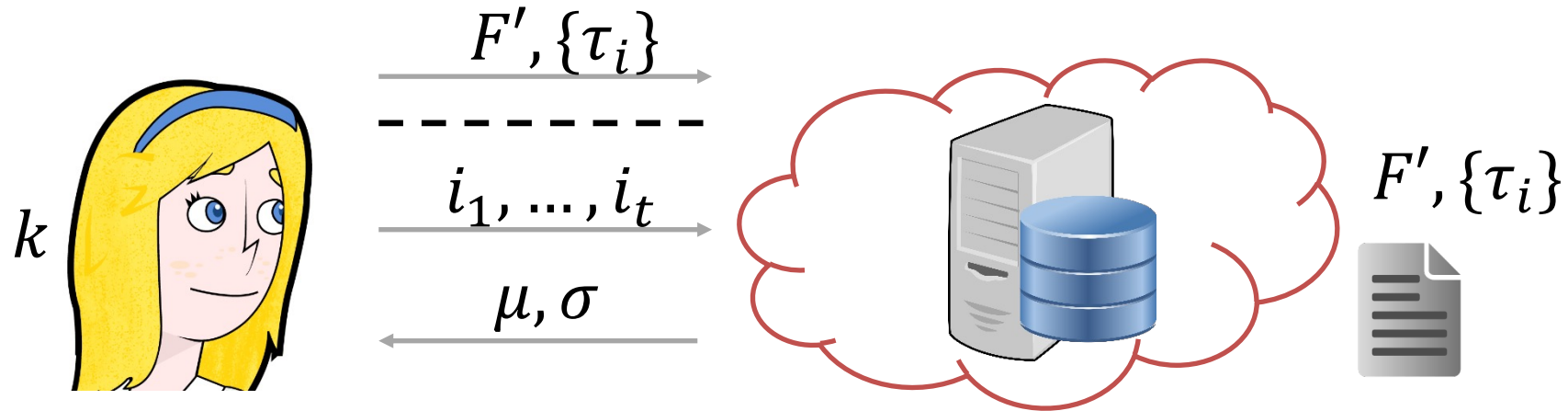
$$F' = \mathbf{ECC}(F)$$

$$\tau_i \leftarrow_{\$} \mathbf{T}(k, i || F'[i])$$

Can recover  $F$  from any  $\delta$  fraction (e.g.,  $\delta = 1/2$ )

- If cloud **forgets**  $\leq (1 - \delta)$ -fraction, can still **reconstruct**  $F$
- If cloud **forgets**  $> (1 - \delta)$ -fraction, will **pass** an audit w.p.  $\leq \delta^t$

# Reducing Communication Complexity



$$F' = \mathbf{ECC}(F)$$

$$\tau_i \leftarrow_{\$} \mathbf{T}(k, i || F'[i])$$

$$\mu = \sum_j F'[i_j], \sigma = \sum_j \tau_{i_j}$$

- Assume the blocks and the tags are element of some **finite field**  $\mathbb{F}$ 
  - So addition is well defined
- But how can Alice verify?

# Homomorphic Authenticators

- Let  $\mathbf{PRF}_k: \{0,1\}^* \rightarrow \mathbb{F}; F[i] \in \mathbb{F}; \mathbb{F} = GF(2^{80})$
- **Key:** Single PRF key  $k$  and random  $\alpha \in \mathbb{F}$
- **Tag:** Compute  $\tau_i = \mathbf{PRF}_k(i) + \alpha \cdot F[i]$

- **Aggregate:**

$$\sigma = \sum_i \gamma_i \cdot \tau_i; \mu = \sum_i \gamma_i \cdot F[i]$$

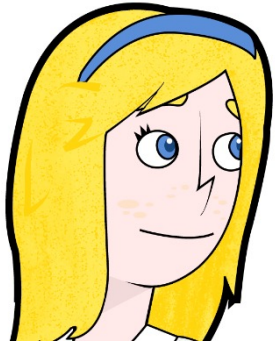
- **Verify:**

$$\sigma = \sum_i \gamma_i \cdot \mathbf{PRF}_k(i) + \alpha \cdot \mu$$



# Compact Proofs of Retrievability

$k, \alpha$



$$Q = \{(i, \gamma_i)\}$$

$\{F'[i]\}, \{\tau_i\}$



$$I \subseteq [n]; |I| = t$$
$$\forall i \in I: \gamma_i \leftarrow_{\$} \mathbb{F}$$

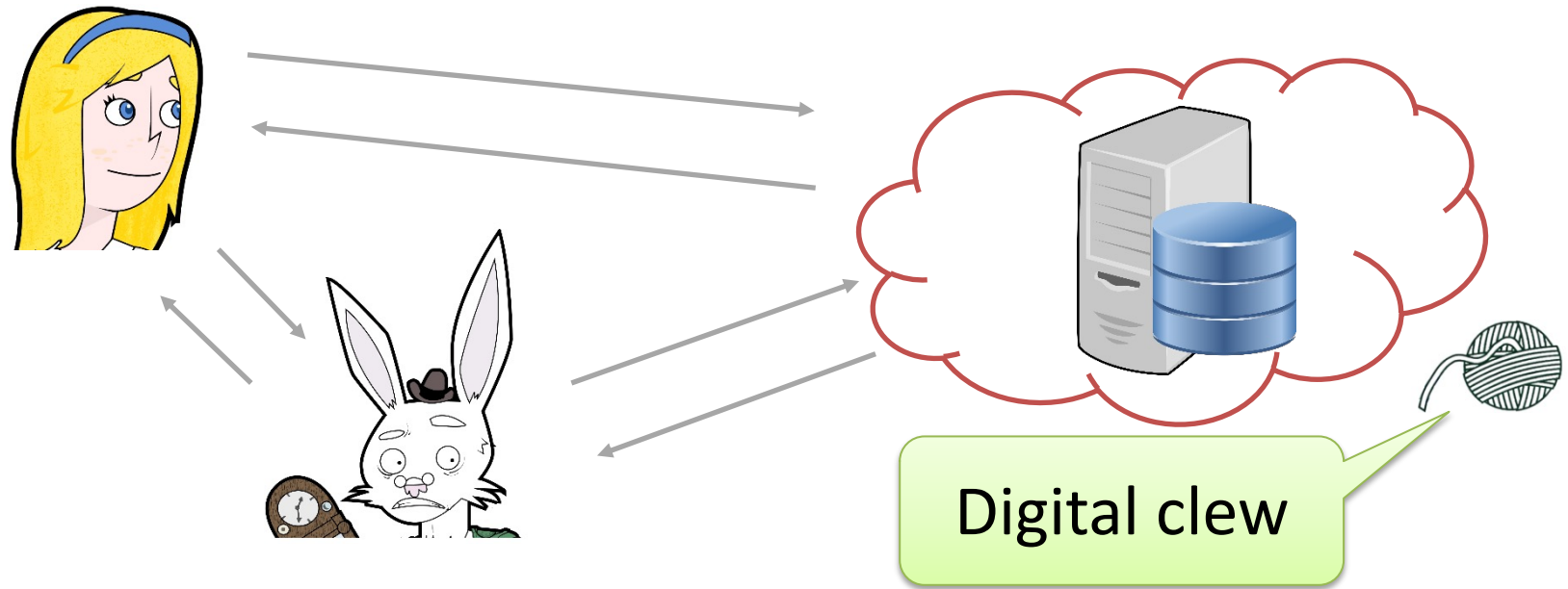
$\mu, \sigma$

$$\mu = \sum_{(i, \gamma_i) \in Q} \gamma_i \cdot F'[i]$$

$$\sigma = \sum_{(i, \gamma_i) \in Q} \gamma_i \cdot \tau_i$$

$$\sigma = \sum_{i \in I} \gamma_i \cdot \mathbf{PRF}_k(i) + \alpha \cdot \mu$$

# Data Entanglement



- Peer-to-peer approach
- **All-or-nothing integrity**: If cloud forgets a **significant amount** of information, **nobody** will be able to recover its file